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Confucius Said What? Baobian and the Voice of Judgment in the Hanshu

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AMERICAN ORIENTAL SOCIETY 217TH MEETING SAN ANTONIO, TEXAS: 16-19 MARCH 2007 COMMUNICATION TO BE READ AT CONFERENCE (PAPER)

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"Confucius Said What? Baobian and the Voice of Judgment in the Hanshu"

Today Pd like to revisit a topic that has been discussed in several Sinological works I'd like then, to bour on the historiographical impulse to moralize, to ever the past few decades - praise and blame, or bāobiǎn 褒贬, I shall be feening most specifically on how the Hànshū 漢書 (Records of the Han) employed bāobĭan to render judgment on the subjects of its history, and I should state at the outset that the first mention characters of the concept of praise and blame using the specific gents "bāo" 褒 and "biǎn" 贬 in Chinese texts, as far as I can locate, is in Ban Gu's 班固 (A.D. 32-92) "Treatise on Classic Writings," Yì wén zhì 藝文志. In his discussion of the Zunzhuàn 左傳 (Commentary of Mr. Zuo) and Chūnqiū 春秋 (Spring and Autumn), Ban Gu notes that in Zuo Qiuming's 左丘明 writing, There are [parts] wherein praise is hidden and blame is concealed" 有所褒諱貶損. He continues to note that Zuo's use of bāobiǎn, Gannot be seen in his writing"不可書見," and furthermore that his hidden praise and blame was transmitted orally to his students.² In the same passage Ban Gu suggests that the concealed blame in the Chūnqiū is directed toward the great men, lords and officials, of its era. For Ban Gu the function of history is to render judgment on the great men who occupy the political arena. Of course explicit criticism of one's superiors was precarious, so, as Ban Gu states, writers Therefore obscured

¹ HS30.1714.

² Ibid.

[their criticisms] in their works and did not broadcast them, and thus they avoided the difficulties of their times"隱其書而不宣,所以免時難也.3

Move I we also Made

We see similar historical judgments made in the West by such historians as Julius

Caesar (100-44 B.C.), Mestrius Plutarchus (c. 46-127), and Cornelius Tacitus (c. 56-c.117);

and moral verdicts and judgments were rendered by such medieval writers as Matthew Paris

(c. 1200-1259). Historiographical moralism was not, then, only endemic in the China; the

West is replete with what could be called a Western equivalent of bāobiān. In his An Enquiry

Concerning the Principles of Morals, David Hume (1711-1776) made a comment that could just as

easily have been asserted by an early Chinese historian. Hume wrote:

The final sentence, it is probable, which pronounces characters and actions amiable or odious, praiseworthy or blameable; that which stamps on them the mark of honor or infamy, approbation or censure; that which renders morality an active principle and constitutes virtue our happiness and vice our misery; it is probable, I say, that this final sentence depends on some internal sense or feeling, which nature has made universal in the whole species.⁴

And in the recent work of Daniel Robinson, Praise and Blame: Moral Reason and its Applications, Robinson states:

Phaise and blame are central features of scripture, of ethics and moral philosophy of ancient schools of rhetoric, of criminal and civil law, of the behavioral and social sciences. They are the tested tools of childrearing and interpersonal influence, staples in the busy world of advertising and the murky world of propaganda. They are the means by which attention is drawn to the hero and the villain, the saint and the sinner, the victor and the vanquished.⁵

The impulse to praise and blame is indeed universal, sweeping across cultural-lines. The early Chinese compulsion to render praise and blame through circuitous enunciation, however, is quite unparalleled in Western texts.

³ Ibid.

⁴ David Hume, An Enquiry Concerning the Principles of Morals, Chapter One.

⁵ Daniel N. Robinson, Praise and Blame: Moral Realism and its Applications (Princeton: Princeton University Press, 2002), ix.

Mencius and the Tradition of Hidden Enunciation

So, from where does the concept of bāobiǎn derive? One early source of the idea appears in Mèngṣi 孟子 (Master Meng). In the texte "Téngwéngōng" 滕文公 chapter, we vead are told that:

世衰道微,邪說暴行有作,臣弒其君者有之,子弒其父者有之、孔子懼,作《春秋》,,,昔者禹抑洪水而天下平,周公兼夷狄、驅猛獸而百姓寧,孔子成《春秋》而亂臣賊子懼,

The era was in great decay and the Way was diminished; heterodox sayings and cruel actions were committed; there were ministers who committed regicide against their rulers and sons who committed patricide against their fathers. Confucius was fearful and produced the *Chūnqiū*.... Anciently, Yao controlled the floodwaters and the kingdom was peaceful; the Duke of Zhou annexed the Yi and Di tribes, expelled fearsome beasts, and the various clans were made tranquil. Confucius produced the *Chūnqiū* and rebellious ministers and cruel sons were terrified.

⁶ Wengong, 8th year/Legge, 250.

⁷ Chenggong, 9th year/Legge, 368.

⁸ Chenggong, 10th year/Legge, 373.

⁹ Xianggong, 24th year/Legge, 507.

¹⁰ Chenggong 1st year/Legge, 336.

military invasions. The question arises, then, after reading through the *Chūnqiū*; why is it that after Confucius produced this annalistic record, "rebellious ministers and cruel sons were terrified"?

Treatise on Classic Writings" he recounts that, Anciently, Confucius died and his subtle words were discontinued" 昔仲尼沒而微言絕.¹¹ After his death five different exegetical traditions emerged based on the annals of the Chūnqiū. That is, the text of the Chūnqiū was so "subtle" that no-one knew how to interpret it after Confucius had died. From then on, his "subtle words" required hormeneutical exegesis. One solution to the problem of how to read the Chūnqiū as a repository of subtle words, or rather, "subtle judgments," was "solved" by later commentaries such as the Gūliáng zhuàn 穀染傳 and the Gōngyáng zhuàn 公羊傳.

These commentaries claimed to reveal the encoded judgments contained in the Chūnqiū. As

Michael Nylan-notes: "The Gōngyáng, through such glosses, seeks to unpack the oblique-literary style of the Chūnqiū in order to verify the Classic's infallibility in lying down

judgments." First Mencius asserted that Confucius produced the Chūnqiū to rectify the predicaments of his era, and later commentaries claimed to explain how. For Ban Gu, Confucius' judgments were encoded in his "subtle speech"; the most important point being, that historical records should, in the tradition of the Chūnqiū, include bāobiǎn, subtle or not.

Historical Judgment in Hanshü

So how does this apply to the moralistic

Now, how does all of this apply to my topic, historical judgment in the Hànshū? I suggest that Ban Gu responded to the tradition of encoded judgment, derived as it is from

¹¹ HS30.1701.

¹² Michael Nylan, The Five "Confucian" Classics (New Haven: Yale University Press, 2001), 260.

the accounts of Confucius' implicit praise and blame in the Cintingia, by generally

perpetuating the institution of moralistic assessment in historical accounts in two ways, one that is implicit much like Confucius' "subtle speech," and one that is explicit simply quoting the words of the Master. Ban Gu implicitly praises and blames by prioritization and ordering in his "Chart of Personages Past and Present" 古今人表, and he does so explicitly in his Zàn 贊 ("eulogia") by borrowing the locutions of Confucius, most often from passages in the Lùnyǔ 論語.

Now, in his chart on historical persons, Ban Gu divides 1,955 historical figures into in his chart. Starther text personages.

nine categories. The highest three distinctions are the Shèngrén 聖人 ("Sagely Men"), Rémén

[Lumane]
[Lumane]
[Lumane]
[Lock Men"), and Zhìnén 智人 ("Wise Men"), and the lowest class in Ban Gu's ranking is the Yinén 愚人 ("Stupid Men"). Each person ranked in his chart is placed in one of the nine categories. While it may appear that this categorization of historical persons is actually explicit, if we consider the chart more closely we discover that within its explicit actually explicit, if we consider the chart more closely we discover that within its explicit actually explicit, if we consider the chart more closely we discover that within its explicit actually explicit, if we consider the chart more closely we discover that within its explicit actually explicit, if we consider the chart more closely we discover that within its explicit this chart: Chart: Chart: Chart: Chart stated in his prefatory comments. He writes:

自書契之作,先民可得而聞者,經傳所稱,唐、虞以上,帝王有號諡。輔佐 不可得而稱矣,而諸子頗言之,雖不考虖孔氏,然猶著在篇籍,歸乎顯善昭 惡,勸戒后人,故博采焉,

From the time written documents were produced, the men of the past whom we have been able to hear of are those exclaimed in classics and biographies. These include emperors and kings who have appellations and posthumous names from Tang and Yu upward. While their assistants (ministers?) were unable to be exclaimed [in these classics and biographies], the various philosophers discussed them quite often. Even though they were not investigated by Confucius, they were nonetheless written of in other writings, wherein they are exposed as good or proclaimed to be

evil. They serve to exhort and warn later men, and I have thus drawn widely from these writings. 13

In other words, Ban-Gu informs us that he has cited previous textual accounts of historical persons and arranged them to expose their good and evil. In addition to the moral concerns of the chart, Ban Gu makes mention of or directly cites Confucius in his preface four times.

Indeed, he quotes no other person. As Derk Bodde has asserted in his study of this chart,

The numerous quotations from Confucius that appear in the above preface make it evident that Ban Gu has looked to him for inspiration in compiling the table."

But there is another point relevant to my assertion that the chart functions as a form Puist of praise and blame based on Confucian sensibilities. Given that Confucius twice referred to himself as "Hàogǔ 好古 ("fond of antiquity") in the Lànyǔ, it is significant that Ban Gu ranks people higher in his moral ranking the further back in time they lived. To On this point, Bodde did a count of how many persons from high antiquity are ranked highly vis a vis persons who lived just prior to the Han. From the legendary period, ca. 2852 to 2206 B.C., 127 persons of the 140 ranked are placed in the top three categories; however, of the Warring States and Qin periods, only 40 out of 412 persons are placed in the top three. In fact, the closer to the Han one lived, the lower his placement is in the chart. And of the persons thus judged categorically, highest honors are given to the paragons often landed by Confucius.

While Gan Gu does not explicitly state that Confucian worthies are the most valued persons Ban Gu's implicit ordering reinforces Confucian estimations of the past and those who

occupied it.

¹³ HS20.861. For an alternate translation of this passage see Derk Bodde, "Types of Categorical Thinking," *Journal of the American Oriental Society*, vol. 59, no. 2 (June 1939), 207-208.

¹⁴ Bodde, 210.
15 Two passages in the Lunyu attest to Confucius' fondness for the past, Lunyu 7.1, wherein he states, "I transmit and do not innovate, am truthful and fond of antiquity. I would compare myself to Old Peng" 遂而不作,信而好古,竊比於我老彭, and Lunyu 7.20, where he says, "I was not born knowing, but I am fond of antiquity and diligently seek after knowledge" 我非生而知之者,好古,敏以求之者也.

So, just how prominently does Contacius play in Franchis praise à blame in his Hanshu? Let-me move now to a more novel contribution to the larger discussion of praise and blame in the Hànshū. In Li Weixiong's 李威熊 study of the Hànshū, Li states that the entire work, "chiploys the words of Confucius to offer criticisms of personages" 引用孔子的話來 批評人物, an assertion that I felt required substantiation.16 This contention, I now believe, is quite legitimate. Ban Gu commonly conjures the voice of Confucius in his writing as a form of explicit commentary on the people he discusses. In Hànshū 54, Ban Gu uses the voice of Confucius to culogize Su Wu 蘇武 (fl. 100 B.C.), a loyal minister of Han Wudi 漢武帝 (r. 141-87 B.C.), who reacted strongly to the news of his sovereign's death. As Ban Gu puts it, When Wu heard of Wudi's death, he faced south howling and weeping, and spitting up blood morning and night"武聞之,南鄉號哭,歐血,旦夕臨.¹⁷ In his postcharter eulogy, Ban Gu writes, Confucius declared, 'a man of ideals, integrity, and humanness benevolence does not desire a life wherein he harms to benevolence; [he may] have to die himself in order to achieve benevolence,' and, 'sin being' sent throughout the four directions he does not bring shame to his lord's commands"孔子稱「志士仁人,有殺身以 成仁,無求生以害仁」,「使於四方,不辱君命」.18 This statement directly quotes Linyŭ 15.9 and 13.20. And following these two locutions, Ban Gu concisely states that, OSu Wu had these qualities"蘇武有之矣.19 Ban Gu merely utilizes the voice of Confucius to apply his own judgment.

In Hànshū 5, the biography of Han Jingdi 漢景帝 (r. 157-141 B.C.), Gu again quotes Confucius in order to intimate his own judgment. He writes, Confucius declared, Such

¹⁶ Li Weixiong, Hanshu daodu (Taibei: Wen shi zhe chubanshe, 1977), 34.

¹⁷ HS54.2465.

¹⁸ HS54.2469.

¹⁹ Ibid.

In Bank Guis Zim following his biography of Liu Jiao 劉交, Hànshū 36, he again following his biography of Liu Jiao 劉交, Hànshū 36, he again draws from the Lànyǔ, this time to render accolades for Liu Xiang 劉向 (ca. 79-6 B.C.).

Quoting from Lànyǔ 8.20, Ban states, Confucius declared, Talent is difficult to obtain, is it not?" 材業不其與.22 After inserting this quotation Ban Gu suggests that following Confucius, the only scholars who had accumulated literary refinement were Mencius, Sun Kuang 孫況, Dong Zhongshu 董仲 舒 (176-104 B.C.), Sima Qian 司馬 遠 (145-c. 86 B.C.), Liu Xiang, and Yang Xiong 楊雄 (58-18 B.C.), thus subtlely comparing these guest to the five ministers of the sage king, Shun 舜 who were able to order the kingdom, mentioned in the original Lànyǔ passage.

Ban Gu does not exclusively draw from the Lùnyǔ, however, to find useful quotations of the Master in order to render judgment in the Hànshū. In Hànshū 40, the biography of Zhang Liang 張良(d. ca. 186 or 189 B.C.), an honored advisor to Han Wudi

²⁰ Ibid.

²¹ Lunyu 15.25.

²² Lunyu 8.20.

during his founding of the Han, Ban Gu uses a line from Ship 史記 67, where Confucius praises Zi Yu 子羽. Ban Gu writes, Confucius declared, Thus, to choose a man on account of his countenance would be to lose someone such as Zi Yu"故孔子稱「以 貌取人,失之子羽」.23 Ban Gu derived this quotation from Sima Qian's final comments in Shǐjì 55.24 On Ban Gu's passage, Yan Shigu 顏 師 古 (A.D. 581-645) notes that, He was a disciple of Confucius. . . . His countenance was ugly, but his actions were virtuous"「子羽,孔子弟子···貌惡而行善,故云然也·」.25 Ban Gu is suggesting, then, that Zhang Liang, in spite of Sima Qiang's pejorative estimation of his appearance, was a fine minister. Were I've only dited here a few brief examples of Ban Gu's use of the Master's voice as the ultimate moral adjudicator of historical moral worth, but there are many other places in the Hànshū where Gu uses the voice of Confucius to render praise and blame. Several, if not most eulogia most, of these instances are appropriately located in the Zaw comments appended to the end of biographies. In addition to the examples Have noted, Ban Gu acts as an addition to voice of Confucius in *Hànshū* chapters 41, 45, 46, 49, 66, 67, 71, 74, 77, 83, 85, 93, and 99. And of the instances wherein the Master's voice is employed for historical judgment, all but the single passage from the Shiji are drawn directly from the Lùnyu. In addition, this list does not include Zan-passages in which Ban Gu praises or blames the subjects of his history in decidedly Confucian terms. The final comments in Ban's biography of Han Wendi 漢文 帝 (r. A.D. 184-180), for example, praise the emperor for, being especially devoted to

²³ HS40.2063.

²⁴ S155.2049

²⁵ HS40.2063.

using virtue in order to transform the people" 專務以德化民 - a very Mencian form of Confucianism indeed.²⁶

Let me end here with two final comments. First while it is certain that Ban Gu has appropriated Confucius as the principle voice of moral historical judgment of the persons of his writing, it remains curious that Confucius himself seems to have criticized the practice of praising and blaming men of the past. When in the Linyǔ Duke Ai of Lu 鲁哀公 (r. 494-469 B.C.) asks Zai Wo 宰我 about the altar of the soil, marked with a sacred tree, Confucius says accerts that, One does not explain what has already happened, one does not criticize what is done, and one does not censure the past"成事不說,遂事不諫,既往不咎. 27 Yet in another passage Confucius emphasizes the importance of keeping historical records so that the past can be discussed, especially, it appears, when it comes to rites. But despite Confucius' apparent opinion on what was later called "bāobiǎn," many other historical works, from the Zuoʻzbuàn forward, made praise and blame the mainstay of their narratives.

And second, Ban Gu appears to have greatly expanded the tradition of using Confucius as a voice of judgment, first inaugurated by his esteemed predecessor, Sima Qian. In Sima Qian's final biographical comments, he uses Confucius as a voice of judgment after the formulaic introduction, "Kŏngzi yuē" 孔子公司, a total of four times. He never quotes Confucius after the formula, "Zhòngniyuē" 仲尼司. Ban Gu, on the other hand, borrows the voice of Confucius after the formula "Kŏngzi yuē," seven times, and after "Zhòngniyuē," three times. And subsequent Standard Histories 正史 employ these formulae to use Confucius as a voice of judgment even more. Indeed Ban Gu appears to have been an important

²⁶ HS4.135.

²⁷ Lunyu 3.21.

²⁸ See Lunyu 3.9.

progenitor of the historiographical tradition of bāobiǎn à la Confucius. As Daniel Robinson writes: ... for praise or blame to be accepted as such, the source must be recognized as authoritative and not merely in possession of power or material resources. ... "and who better qualifies as an authority without power or resources than Confucius, the "uncrowned king" *\frac{\pm}{\pm} \frac{\pm}{\pm} = \frac{\pm}{\pm} \frac{\pm}{\p

²⁹ Robinson, 5.

American Oriental Society 217th Meeting San Antonio, Texas: 16-19 March 2007 Communication (Paper) Personages, Terms, Texts, and Translated Passages

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"Confucius Said What? Baobian and the Voice of Judgment in the Hanshu"

Personages:

Ban Gu 班固 (A.D. 32-92)

Zuo Qiuming 左丘明 (?)

Daniel N. Robinson (1937-)

Julius Caesar (100-44 B.C.)

Mestrius Plutarchus (c. 46-127)

Cornelius Tacitus (c. 56-c.117)

Matthew Paris (c. 1200-1259)

David Hume (1711-1776)

Mencius 孟子 (ca. 372-289 B.C.)

Confucius 孔子 (551-479 B.C.)

Derk Bodde (1909-2003)

Li Weixiong 李威熊 (?)

Su Wu 蘇武 (fl. 100 B.C.)

Han Wudi 漢武帝 (r. 141-87 B.C.)

Jingdi 漢景帝 (r. 157-141 B.C.)

Liu Jiao 劉交 (?)

Liu Xiang 劉向 (ca. 79-6 B.C.)

Sun Kuang 孫況 (?)

Dong Zhongshu 董 仲 舒 (176-104 B.C.)

Sima Qian 司 馬 遷 (145-c. 86 B.C.)

Yang Xiong 揚雄 (58-18 B.C.)

Shun 舜 (legendary)

Zhang Liang 張 良 (d. ca. 186 or 189 B.C.)

Zi Yu 子羽 (?)

Yan Shigu 顏 師 古 (A.D. 581-645)

Han Wendi 漢文帝 (r. A.D. 184-180)

Duke Ai of Lu 魯哀公 (r. 494-469 B.C.)

Zai Wo 宰我(?)

Translated Passages:

- 1. "There are [parts] wherein praise is hidden and blame is concealed" 有所褒諱貶損 (HS30.1714).
- 2. "... cannot be seen in his writing"不可書見 (Ibid).
- 3. "... therefore obscured [their criticisms] in their works and did not broadcast them, and thus they avoided the difficulties of their times"隱其書而不宣,所以免時難也 (Ibid).
- 4. "The era was in great decay and the Way was diminished; heterodox sayings and cruel actions were committed; there were ministers who committed regicide against their rulers and sons who committed patricide against their fathers. Confucius was fearful and produced the Chūnqiū... Anciently, Yao controlled the floodwaters and the kingdom was peaceful; the Duke of Zhou annexed the Yi and Di tribes, expelled fearsome beasts, and the various clans were made tranquil. Confucius produced the Chūnqiū and rebellious ministers and cruel sons were terrified"世衰道微,邪說暴行有作,臣弒其君者有之,子弒其父者有之,孔子懼,作《春秋···昔者禹抑洪水而天下平,周公兼夷狄、驅猛獸而百姓寧,孔子成《春秋》而亂臣賊子懼 (Mengri, "Tengwengong").
- 5. "Anciently, Confucius died and his subtle words were discontinued" 昔仲尼沒而微言絕 (HS30.1701).
- 6. "From the time written documents were produced, the men of the past whom we have been able to hear of are those exclaimed in classics and biographies. These include emperors and kings who have appellations and posthumous names from Tang and Yu upward. While their assistants (ministers?) were unable to be exclaimed [in these classics and biographies], the various philosophers discussed them quite often. Even though they were not investigated by Confucius, they were nonetheless written of in other writings, wherein they are exposed as good or proclaimed to be evil. They serve to exhort and warn later men, and I have thus drawn widely from these writings" 自書契之作,先民可得而聞者,經傳所稱,唐、虞以上,帝王有號諡。輔佐不可得而稱矣,而諸子頗言之.雖不考虖孔氏,然猶著在篇籍,歸乎顯善昭惡,勸戒后人,故博采焉 (HS20.861).
- 7. "... employs the words of Confucius to offer criticisms of personages" 引用孔子的 話來批評人物 (Li Weixiong, *Hanshu daodu*, 34).
- 8. "When Wu heard of Wudi's death, he faced south howling and weeping, and spitting up blood morning and night" 武聞之,南鄉號哭,歐血,旦夕臨 (HS54.2465).